



Adeel & Nadeem Securities (Pvt.) Ltd.

Room No: 219, LSE Plaza, Lahore

Telephone No: +92-42-36308971-74 Fax No: +92-42-36280778

URL www.adeelnadeem.pk email: info@adeelnadeem.pk, adeelnadeem123@hotmail.com

INDIVIDUAL SELF-CERTIFICATION FORM

Introduction:

The Federal Board of Revenue (FBR) by notification S.R.O 166 (I)/2017 dated March 15, 2017 adopted the CRS as developed by OECD which was made applicable from July 2017 with the first exchange information to take place in 2018. This means that Pakistan Financial Institutions already have obligations to identify holders of Financial Accounts and report them to the Federal Board of Revenue (FBR) if they are tax resident in another jurisdiction. We being a Stock Brokers are obliged under the said Regulations as a Financial Institutions registered with FBR for the purpose of the Automatic Exchange of Financial Accounts Information under the Common Reporting Standard (CRS) Rules to collect certain information about each account holder's tax status. Please complete the sections below as directed and provide any additional information that is requested. Please note that we may be obliged to share this information with relevant tax authorities

Instructions:

If any of the information below regarding your tax residence changes in the future, please ensure you advise us of these changes, promptly.

Please note that where there are joint account holders, each investor is required to complete a separate Self-Certification Form.

Section 1: Account Holder Identification:

Account Holder Name	Date of Birth (dd/mm/yyyy)	Place and Country of Birth
1- Permanent Residence Address		
Number & Street		City / Town
State / Province / Country	Post Code	Country
2- Mailing Address (if Different from above)		
Number & Street		City / Town
State / Province / Country	Post Code	Country

Section 2: Declaration of U.S. Citizenship or U.S. Residence for Tax purpose:

Please tick either (a) or (b) or (c) and complete as appropriate.

- a) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes (green card holder or resident under the substantial presence test) and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: _____.



Adeel & Nadeem Securities (Pvt.) Ltd.

Room No: 219, LSE Plaza, Lahore

Telephone No: +92-42-36308971-74 Fax No: +92-42-36280778

URL www.adeelnadeem.pk email: info@adeelnadeem.pk, adeelnadeem123@hotmail.com

- b) I confirm that **I** was born in the U.S. (or a U.S. territory) but I am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.
- c) I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes

For Non U.S. Tax Residences:

Section 3: Declaration of Tax Residency (other than U.S.)

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country).

Country/Countries of tax residency	Tax reference number type	Tax reference number/ Tax identification number

Please indicate not applicable if jurisdiction does not issue or you are unable to procure a tax reference number or functional equivalent. If applicable, please specify the reason for non-availability of a tax reference number:

Section 4: Declaration and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I hereby consent to the recipient sharing this information with the relevant tax information authorities.

Signature: _____

Dated: _____